



Docket No.: SHO-0038

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Kazuki Emori et al.

Application No.: 10/697,054

Filed: October 31, 2003

For: GAMING MACHINE

Confirmation No.: 8377

Art Unit: N/A

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

1. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the present application. No fee is required (37 C.F.R. §1.97(b)). The Patent Office is NOT AUTHORIZED to charge deposit account 18-0013 under 37 CFR §§ 1.97(c)(2) and 1.17(p). However, ONLY IF a first Office Action on the merits was mailed before filing of this Information Disclosure Statement, please charge deposit account 18-0013 in the amount of \$180.00 for payment of the fee under 37 CFR §§ 1.97(c)(2) and 1.17(p).

Application No.: 10/697,054 Docket No.: SHO-0038

(80380-0038)

2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

Dated: MAY 5, 2005

Respectfully submitted,

Shawn B. Cage

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|---------------------------------|-----------------------------------|------|---|------------------------|------------------------|--|
|                                 |                                   |      |   | Application Number     | 10/697,054-Conf. #8377 |  |
| 11                              | NFORMATION                        | l DI | SCLOSURE                                | Filing Date            | October 31, 2003       |  |
| STATEMENT BY APPLICANT          |                                   |      | APPLICANT                               | First Named Inventor   | Kazuki Emori           |  |
|                                 | (Use as many sheets as necessary) |      |   | Art Unit               | N/A                    |  |
|                                 |                                   |      |   | Examiner Name          | Not Yet Assigned       |  |
| heet                            | 1                                 | of   | 1                                       | Attorney Docket Number | SHO-0038               |  |

| U.S. PATENT DOCUMENTS |              |  |                                |  |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2</sup> ( if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |              |  |                                |  |   |

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|-----------|--------------|---|-----------------------------|--|---|----------------|
| Examiner  | Cite<br>No.1 | Foreign Patent Document   | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |                |
| Initials* |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                             |  |   | T <sup>6</sup> |
| <u> </u>  | BA           | WO-03-039699-A1   | 05-15-2003                  | Aristocrat Technologies                            |   |                |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |   |    |  |  |
|---------------------------------|--------------------------|---|----|--|--|
| Examiner<br>Initials            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |  |  |
|                                 | CA                       | JPO Notification of Reason(s) for Refusal   |    |  |  |
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| Examiner    | Date       |  |
|-------------|------------|--|
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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.